

The significance of Siglec-15 expression in resectable non-small cell lung cancer

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Siglec-15 (S15) is another important mechanism of tumor immune escape besides the PD-L1/PD-1 pathway and represents a new kind of immune checkpoint inhibitor. However, the associations of tumor Siglec-15 expression with clinicopathological characteristics and outcomes of non-small cell lung cancer (NSCLC), and tumor-infiltrating lymphocytes (TILs) in a tumor microenvironment (TME) have so far been unclear. A total of 324 NSCLC surgical samples on tumor microarray were used in this study for investigating the association of S15 expression with clinicopathological characteristics and overall survival (OS) as well as correlation with TILs using multiplex immunofluorescence staining and PD-L1. Results showed that the expression of S15 in adenocarcinoma was significantly higher than that in squamous cell carcinoma. S15 expression was positively correlated with CD8⁺ T cell density in the stroma. The expression rate of PD-L1 in lung squamous cell carcinoma was higher than that in lung adenocarcinoma. S15 expression was not associated with the prognosis of early NSCLC. The pathological mechanism of the co-expression of S15 and PD-L1 in resectable NSCLC remains to be further studied.

Key words: NSCLC, Siglec-15, TILs, multiplex immunofluorescence staining

Lung cancer is the leading cause of cancer death in the world. The five-year survival rate of distant metastasis lung cancer is only 5%. For decades, platinum-based chemotherapy has been the cornerstone of the treatment of metastatic lung cancer. In the past decade, targeted therapy has brought significant clinical effects and benefits for non-small cell lung cancer (NSCLC) accompanied by oncogene driven mutations, such as EGFR, ALK, ROS1, and more targeted drugs, including BRAF, MET, RET, HER-2, and NTRK [1, 2]. PCR based on small samples or a comprehensive gene test based on next generation sequencing has become a routine method to select patients who meet the target treatment conditions.

In recent years, immunotherapy based on immune checkpoints, such as programmed cell death 1 (PD-1) and programmed cell death ligand 1 (PD-L1), had been demonstrated to prolong overall survival (OS) for a subset of NSCLC

patients, especially without sensitive oncogenic driver genes. Immune checkpoint inhibitors (ICIs), including pembrolizumab, nivolumab, atezolizumab, and durvalumab, had been approved by the American FDA for treatment in lung cancer [3–12]. For patients who received pembrolizumab, the five-year OS rate of previously untreated patients with lung cancer achieved 23.3% and the median OS of patients with tumor proportion score (TPS) $\geq 50\%$ of PD-L1 expression was 35.4 months and 5-year survival rate was 29.6% [13, 14].

Immunotherapy alone or combined with chemotherapy or anti-vascular drugs has become an important treatment for lung cancer and has been widely used. However, only about 20% of NSCLC patients benefit from ICIs, and good predictors of ICIs are very challenging in clinical practice, although PD-L1 expression and tumor mutation burden were considered with a certain degree of prediction in ICIs [15]. The possible reason is that more than one immune

checkpoint in a considerable number of cases suppresses the local immune response of the tumor, such as Siglec-15 (S15), PD-L1, and CTLA-4, etc. [16]. The PD-1/PD-L1 pathway is the major checkpoint of immunotherapy, which accounts for less than 40% of the immune escape mechanism of cancer [17, 18].

S15 is one of the members of Siglec gene family. In addition to the PD1/PD-L1 pathway, S15 is mainly expressed on tumor cells. It is a different escape pathway from PD-1/PD-L1 by inhibiting T cell function, which may be a potential target of immunotherapy [19]. Phase I of a clinical trial of the S15 inhibitor, NC318, is in progress (NCT03665285). It blocks the S15/Sialyl-T antigen (sTn) signaling pathway, normalizes the immune microenvironment, and restores the function of the immune system to kill tumor cells. Tumor microenvironment (TME) is composed of tumor cells, tumor-infiltrating lymphocytes (TILs), vascular cells, stromal fibroblasts, and so on. Among them, CD8⁺ T cells and FOXP3⁺ T cells are important TILs. The former is the positive force of tumor immune response, while the latter is the negative force of tumor immune response. Up to date, the association of S15 expression with clinicopathological characteristics and outcomes of NSCLC is unknown and the relationships of S15 expression with TILs in tumor microenvironment remain unclear.

This study aimed to investigate the significance of S15 expression with the clinicopathological and prognosis of patients with NSCLC and TILs. The relationship between S15 and PD-L1 expression was also evaluated.

Patients and methods

Patient cohort. All patients were hospitalized in Beijing Chest Hospital from 2006 to 2016. Inclusion criteria: newly diagnosed as primary NSCLC, untreated before the operation, with follow-up data. Exclusion criteria: insufficient tissue, combined with other malignant tumors. Staging according to AJCC Cancer staging (8th edition) [20]. According to WHO Guidelines [21], NSCLC is classified by subtype. This study was approved by the Ethics Committee of Beijing Chest Hospital.

Multiple immunofluorescence staining and analysis. We stained 4 μ m thick tumor tissue microarray (TMA) sections, which we continuously resected, using Opal 7-color reagents (PerkinElmer, Inc. Waltham, Massachusetts, US) and antibodies (including S15, CD8, FOXP3, and cytokeratin [CK]). The method we used for TMA was the same as in our previous work [22]. The procedures are as follows: firstly, TMA slices were heated for 6 h at 60°C in an electric blast drying oven, dewaxed with xylene for three times (10 min each time), then rewatered with graded ethanol (100% 2 min; 100% 2 min; 95% 2 min; 90% 2 min; 85% 2 min; 80% 2 min), and washed twice with ultra-pure water. We pretreated the sections using antigen retrieval solution in a microwave oven, first bringing the buffer to the boiling point at 100% power

and then continuing for 15 min at 20% power. After blocking them with blocking buffer for 25 min, we incubated the slides with the first primary antibody for 2 h at room temperature (RT), and then washed them 3 times in Tris-buffered saline + Tween 20 (TBST). Slides were further incubated with the appropriate secondary antibody (Opal Polymer HRP Ms + Rb) for another 30 min at RT and washed as described above.

Next, to generate the Opal signal, we incubated the slides with Opal working solution (10 min at RT) and washed them 3 additional times in TBST. Before the next marker staining, the sections were treated in the microwave oven, and then we repeated the above steps. After the last antigen retrieval treatment, the sections were stained with DAPI for 5 min, washed once with TBST for 10 min, and then coverslipped [23]. The primary antibodies were CD8 (clone EP334, dilution 1:600; ZSBIO, Beijing, China), S15 Polyclonal Antibody (PA5-72765, dilution 1:800; Invitrogen, Carlsbad, California, US), FOXP3 (clone 236A/E7; dilution 1:600; Abcam, Cambridge, UK), and CK (clone PAN-CK [Cocktail], dilution 1:1000, Abcam).

The stained slides were scanned by Vectra multispectral microscope (PerkinElmer). Fluorescence spectroscopy acquired by single-color stained continuous tissue sections under the same shooting conditions, then, the inForm software 2.2.0 (PerkinElmer) was used to remove autofluorescence and analyze the fluorescence for the multi-color immunofluorescence stained slides. The images were analyzed in two compartments (defined by CK staining): tumor compartments and stromal compartments identified by applying the tissue segmentation tool of the inForm software. Distinguishing of the tumor and stromal compartments and cells was performed by trained pathologists. The individual cells (defined by DAPI staining) were identified by the cell segmentation tool. Data of S15⁺ cells, CD8⁺ T cells, FOXP3⁺ T cells in each TMA core including tumor or stroma were collected. Because of less specificity of S15 expression stained with polyclonal antibody in the stroma, the data of S15 expression in the stroma were not analyzed. In each tumor, every marker expression was analyzed by the density that was calculated by the percentage of positive cells in total tumor cells or total stromal cells and entered statistical analysis.

PD-L1 immunohistochemistry (IHC). PD-L1 (clone 22C3, Dako North America, Inc.) expression was tested on TMA consecutive sections on Autostainer Link 48 machine (Dako North America, Inc.) combined with Dako EnVision™ FLEX⁺ and DAB Enhancer solution according to the manufacturer's instructions. PD-L1 expression equal or greater than 50% in tumor cells was regarded as PD-L1 positive and expression less than 50% in tumor cells was negative. The PD-L1 expression was confirmed by two trained pathological doctors.

Statistical analysis. χ^2 test was used to analyze the association of categorical variables. Wilcoxon test was used to analyze the association of non-normal distributions

measurement data and categorical variables. Spearman's rank correlation was used to analyze the correlation of quantitative data. Kaplan-Meier method was used to analyze the OS and log-rank was for intergroup comparison. Cox hazard proportion model was performed for multivariate analysis. Two-side $p < 0.05$ was regarded statistically significant difference. Data were analyzed in SPSS software v21.0, R software v3.6.0, and GraphPad Prism v8.0.

Results

Patients. 324 patients with primary NSCLC were enrolled. 218 (67.3%) were males and 106 (32.7%) were females. The median age was 61 years old (range, 28–84), 183 (56.5%) were equal or greater than 60 years old. 173 (53.4%) patients were smokers. 89 patients were with stage I (27.5%), 58 were II (17.9%), 158 were III (48.8%), and 19 were IV (5.9%). 105 patients were with T1 stage (32.4%), 120 were T2 (37.0%), 51 were T3 (15.7%), and 48 were T4 (14.8%). 155 patients were with N0 stage (47.8%), 31 were N1 (9.6%), 136 were N2 (42.0%), and 2 were N3 (0.6%). Lung adenocarcinoma was diagnosed in 230 (71.0%) and lung squamous cell carcinoma in 94 (29.0%) patients. 156 (45.3%) patients received post-operation chemotherapy and 188 (54.7%) did not receive adjuvant chemotherapy (Table 1).

The significance of S15, CD8, FOXP3, and PD-L1 expression with the clinicopathological characteristics. Tumor S15 expression was significantly higher in lung adenocarcinoma than that in lung squamous cell carcinoma ($p = 0.004$). No differences between the density of S15⁺ tumor cells with age, gender, smoking, and stage were observed. The density of tumor CD8⁺ T cells significantly increased with ageing ($p = 0.001$), decreased with stage ($p = 0.031$), and T stage ($p = 0.041$). The density of tumor CD8⁺ T cells was significantly higher in the PD-L1 positive group ($p = 0.002$). The density of tumor FOXP3⁺ T cells decreased significantly with the T stage ($p = 0.014$). The density of stromal FOXP3⁺ T cells was significantly higher in males ($p = 0.014$), smokers ($p = 0.002$), and lung squamous cell carcinoma ($p < 0.001$), decreased with the stage ($p = 0.019$), and T stage ($p = 0.027$). We found that the rate of the PD-L1 expression increased significantly with the T stage ($p = 0.034$), and higher in lung squamous cell carcinoma ($p = 0.006$, Figures 1–5; Supplementary Tables 1, 2).

The correlation of density of S15⁺ cells, CD8⁺ T cells, FOXP3⁺ T cells. The density of tumor S15⁺ cells was positively correlated with the density of stromal CD8⁺ T cells ($r = 0.238$, $p < 0.001$). The density of tumor CD8⁺ T cells was positively correlated with the stromal CD8⁺ T cells ($r = 0.635$, $p < 0.001$), tumor FOXP3⁺ T cells ($r = 0.286$, $p < 0.001$), and stromal FOXP3⁺ T cells ($r = 0.315$, $p < 0.001$). The density of stromal CD8⁺ T cells was positively correlated with the density of stromal FOXP3⁺ T cells ($r = 0.283$, $p < 0.001$). The density of tumor FOXP3⁺ T cells positively correlated with the stromal FOXP3⁺ T cells ($r = 0.387$, $p < 0.001$). No correla-

Table 1. Patients' characteristics.

Variables	Number	%
Age (years)		
Range	28–84	
Median	61	
<60	141	43.5
≥60	183	56.5
Gender		
Male	218	67.3
Female	106	32.7
Smoking status		
Non-smoking	151	46.6
Current smoking	173	53.4
Stage		
I	89	27.5
II	58	17.9
III	158	48.8
IV	19	5.9
T staging		
T1	105	32.4
T2	120	37.0
T3	51	15.7
T4	48	14.8
N staging		
N0	155	47.8
N1	31	9.6
N2	136	42.0
N3	2	0.6
Histology		
Adenocarcinoma	230	71.0
Squamous	94	29.0
Adjuvant chemotherapy		
Yes	156	45.3
No	188	54.7

tion was observed in other comparisons (Figure 4; Supplementary Table 3).

Prognosis. The last follow-up date for all patients was May 31, 2019. At that time, 217 patients died and 107 patients were alive. OS was calculated from the surgical date to the date of death. We analyzed the association of clinicopathological characteristics (age, gender, smoking, TNM stage, T stage, N stage, and histology), immune markers (density of tumor S15⁺ cells, density of CD8⁺ T cells in tumor and stroma, density of FOXP3⁺ T cells in tumor and stroma, and tumor PD-L1 expression), and adjuvant chemotherapy with outcomes of patients. In univariate analysis, we found that age <60 years old ($p = 0.030$), early TNM stage ($p < 0.001$), early T stage ($p < 0.001$), early N stage ($p < 0.001$), the high density of tumor CD8⁺ T cells ($p = 0.034$), the high density of stromal CD8⁺ T cells ($p = 0.037$), the high density of stromal FOXP3⁺ T cells ($p = 0.005$), tumor low expression of PD-L1 ($p = 0.007$), and receiving adjuvant chemotherapy ($p = 0.001$)

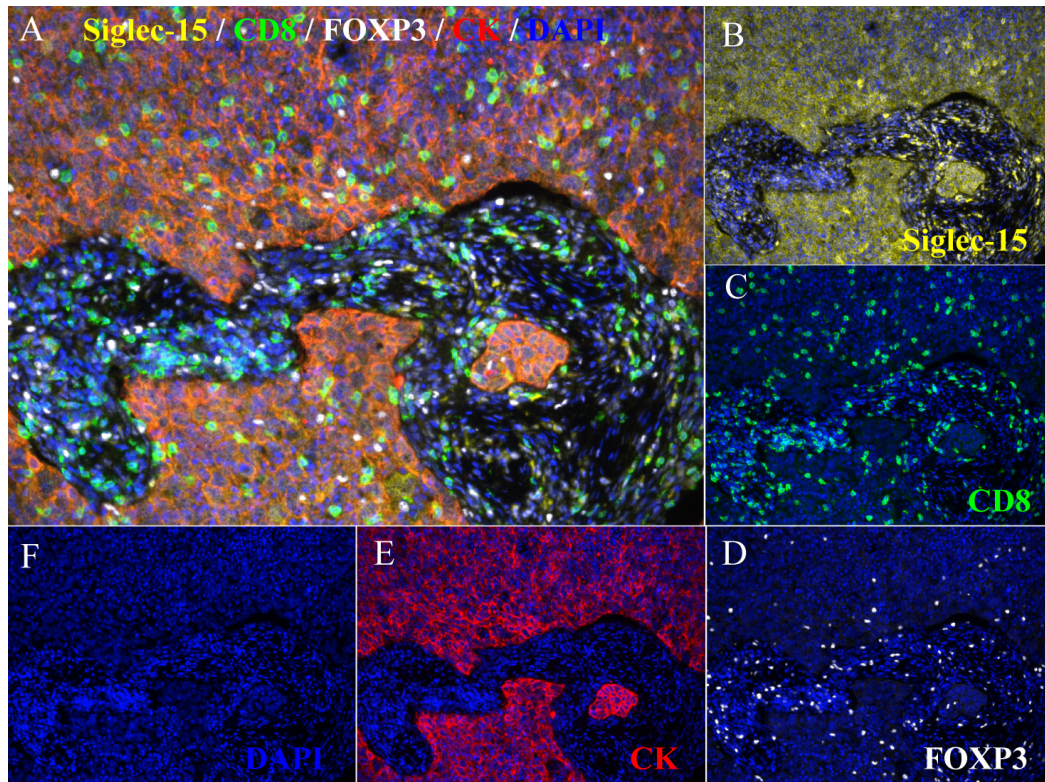


Figure 1. S15, CD8, FOXP3 expression in NSCLC by multiplex immunofluorescence staining using Opal 7-color reagents (PerkinElmer). A tumor containing tumor compartments and stromal compartment divided by CK. A) A merged picture of expression of S15, CD8, FOXP3, CK, and DAPI. B) S15 expression (yellow). C) CD8 expression (green). D) FOXP3 expression (white). E) CK expression (red). F) DAPI (blue).

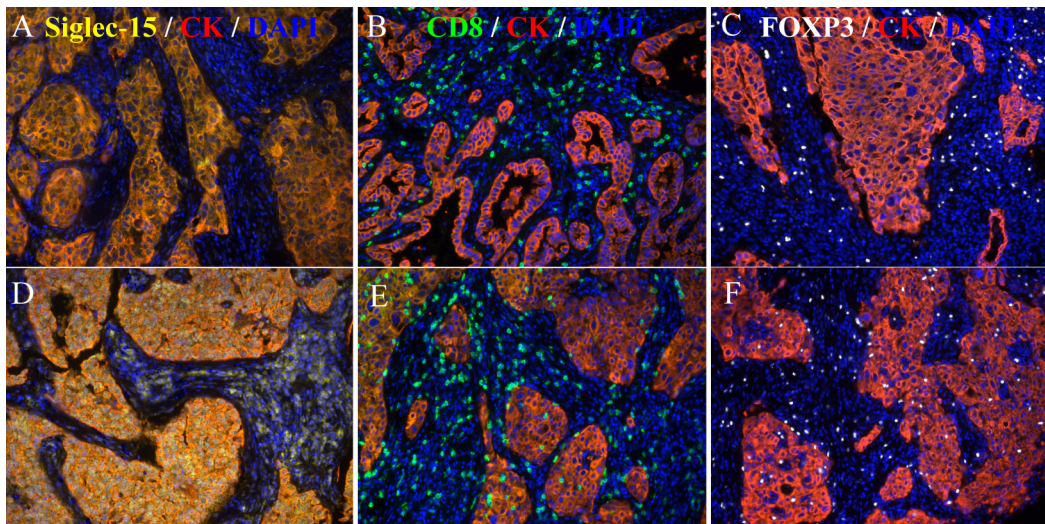


Figure 2. S15⁺ cells, CD8⁺ T cells, FOXP3⁺ T cells by multiplex immunofluorescence staining using Opal 7-color reagents (PerkinElmer). A) S15⁺ cells in the tumor (yellow). B) CD8⁺ T cells in the stroma (green). C) FOXP3⁺ T cells in the stroma (white). D) S15⁺ cells in tumor and stroma (yellow). E) CD8⁺ T cells in tumor and stroma (green). F) FOXP3⁺ T cells in tumor and stroma (white).

were associated with better OS compared opposite factors. Factors with a significant difference in univariate analysis entered multivariate analyses based on the Cox hazard proportion ratio model. The results showed that patients with early T stage (HR 0.406, 95%CI 0.296, 0.555, $p < 0.001$), early

N stage (HR 0.655, 95%CI 0.442, 0.971, $p = 0.035$), low PD-L1 expression (HR 0.646, 95%CI 0.426, 0.979, $p = 0.039$), and receiving adjuvant chemotherapy (HR 0.537, 95%CI 0.401, 0.718, $p < 0.001$) were independent factors of OS (Figures 6, 7; Supplementary Table 4).

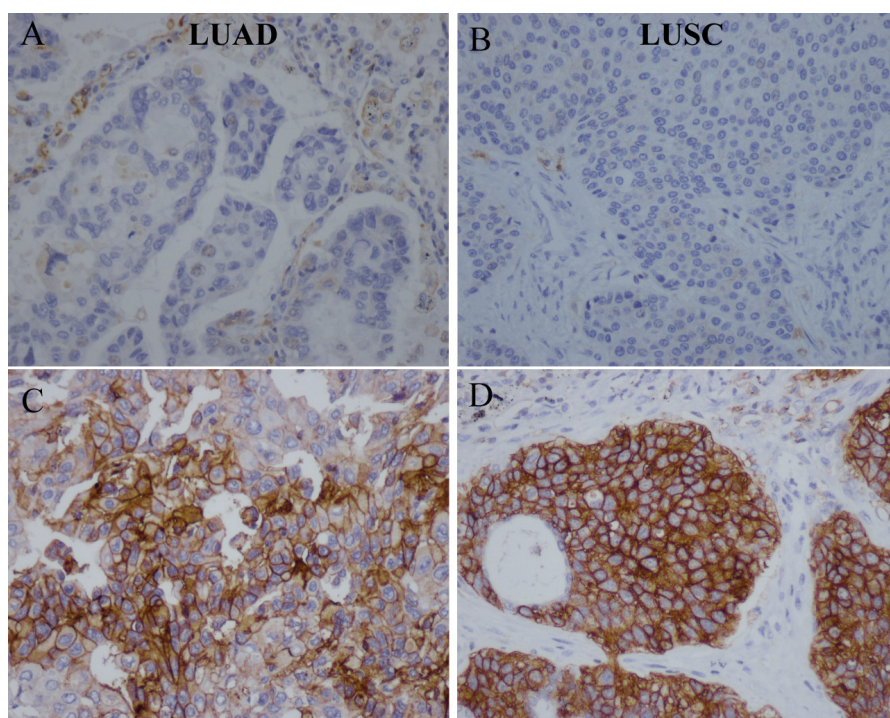


Figure 3. PD-L1 expression in the tumor. PD-L1 (clone 22C3, Dako) expression was tested on Autostainer Link 48 machine (Dako North America, Inc.) combined with Dako EnVision™ FLEX⁺ and DAB Enhancer solution. A) Negative PD-L1 expression in lung adenocarcinoma (LUAD). B) Negative PD-L1 expression in lung squamous cell carcinoma (LUSC). C) Positive PD-L1 expression in lung adenocarcinoma (LUAD). D) Positive PD-L1 expression in lung squamous cell carcinoma (LUSC). (×200)

Discussion

In this study, the association of tumor S15 expression, along with CD8 and FOXP3 in TME, with the clinicopathological characteristics and outcomes in 324 NSCLC patients who underwent surgical resection using multiplex immunofluorescence staining were investigated and PD-L1 expression was also analyzed. We found that S15 expression was significantly higher in lung adenocarcinoma than that in lung squamous cell carcinoma. S15 expression was significantly positively associated with the density of stromal CD8⁺ T cells. S15 expression was not associated with the OS of patients with resected NSCLC.

In this study, we found that S15 expression was common in lung adenocarcinoma than that in lung squamous cell carcinoma ($p=0.004$) and not associated with other clinicopathological characteristics. The higher S15 expression in lung adenocarcinoma may show a clue that patients with adenocarcinoma may have a more chance to receive treatment of S15 inhibitors. Few studies focus on S15 expression with clinicopathological characteristics. We also found that S15 expression was not related to the OS of patients with resectable NSCLC and this is the first report on the correlation of S15 expression with the prognosis of patients with NSCLC. We found that S15 was positively related to the density of CD8⁺ T cells in the stroma, suggesting that S15 is closely related to immune cells and immune response and the specific mechanism is not clear, which remains to be further studied in the future. This is the first time for quantitative

analysis of large samples of early resectable NSCLC tumor tissues and immune checkpoint S15 and its immune cells, especially CD8⁺ T cells and FOXP3⁺ T cells.

A previous study reported that S15 and PD-L1 expression were mutually exclusive [19]. We also investigated the relationship of S15 and PD-L1 expression in our cohort. We used a clone of PD-L1 (22C3), which is approved a companion diagnosis of pembrolizumab by the American FDA based on clinical trials in which higher PD-L1 expression was associated with better response to pembrolizumab. We found S15 expression is significantly increased in lung adenocarcinoma, a different tumor pathological histology, compared with PD-L1 increased in squamous cell carcinoma. And this phenomenon may indicate that patients with lung adenocarcinoma may have a chance of receiving the therapy of an S15 inhibitor and patients with squamous cell carcinoma are more suitable for a PD-L1/PD-L1 inhibitor. That gives a clue that S15 may be a complementary for immunotherapy based on PD-L1. Thus, the mechanism of co-expression of S15 and PD-L1 is worth investigating further. PD-L1 expression was an independent factor of poor prognosis in NSCLC [24]. This is similar to a previous study that showed that PD-L1 expression is associated with an aggressive NSCLC subset and abundant CD8⁺ lymphocytes.

Multiple immunofluorescence staining can provide more clues about the relationship between cancer cells and TILs in the TME including co-location or co-expression [25]. The advantages of multiple immunofluorescence staining are almost absolute quantitative and present a display of TME

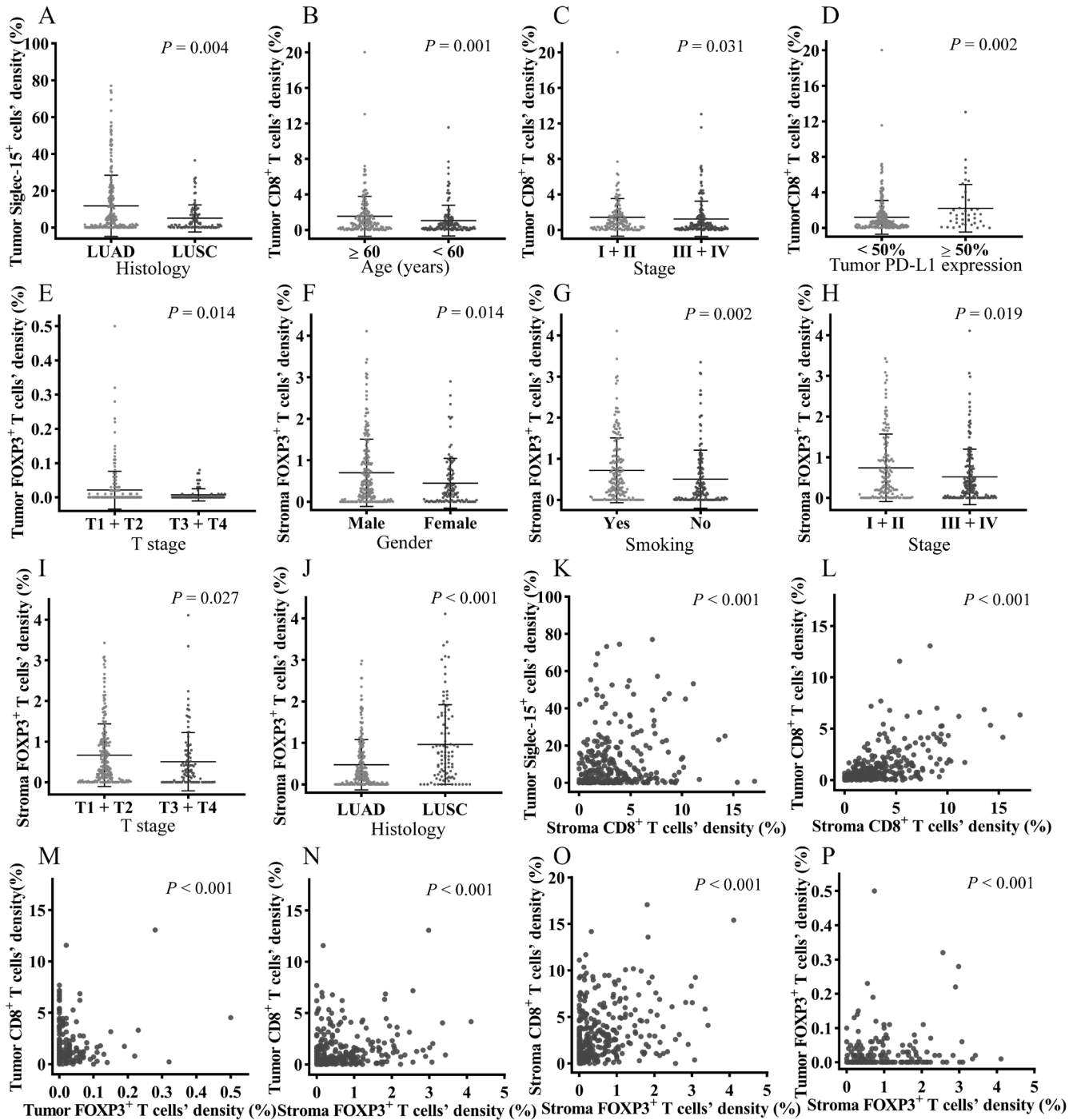


Figure 4. Expression of S15, CD8, and FOXP3 with the clinicopathological characteristics and their correlation. A) The difference in S15⁺ cell density in tumors between LUAD and LUSC. B) The difference in CD8⁺ T cell density in tumors between different age groups. C) The difference in CD8⁺ T cell density in tumors between early- and late-stage. D) The difference in CD8⁺ T cell density in tumors between PD-L1 expression positive ($\geq 50\%$) and negative ($< 50\%$) groups. E) Differences in FOXP3⁺ T cell density in tumors between early and late T stage. F) FOXP3⁺ T cell density in stroma between early-stage and late-stage. G) FOXP3⁺ T cell density in stroma between smokers and non-smokers. H) FOXP3⁺ T cell density in stroma between early-stage and late-stage. I) FOXP3⁺ T cell density in stroma between early and late T stage. J) FOXP3⁺ T cell density in stroma between lung adenocarcinoma (LUAD) and lung squamous cell carcinoma (LUSC). K) Correlation between densities of S15⁺ cells and CD8⁺ T cells in the stroma. L) Correlation between densities of CD8⁺ T cells in tumor and stroma. M) Correlation between densities of CD8⁺ T cells and FOXP3⁺ T cells in the tumor. N) Correlation between densities of CD8⁺ T cells in the tumor and FOXP3⁺ T cells in the stroma. O) Correlation between densities of CD8⁺ T cells in the stroma and FOXP3⁺ T cells in the stroma. P) Correlation between densities of FOXP3⁺ T cells in the tumor and FOXP3⁺ T cells in the stroma.

more accurately; it is well controlled and less influenced by observers. On the other hand, there are some shortcomings, such as requirements for special equipment and software, time-consuming experimental procedure, and inconstant threshold values.

Our study has some limitations. First of all, this is a retrospective study focusing on early resectable NSCLC tissues, which does not represent the situation of middle- and late-stage tumors. Secondly, the patients involved in this study had no history of immunotherapy, so these markers could

not show the values after immunotherapy. Third, TMA is a part of the whole tumor tissue, which may need more in-depth and rich research data in larger tumors.

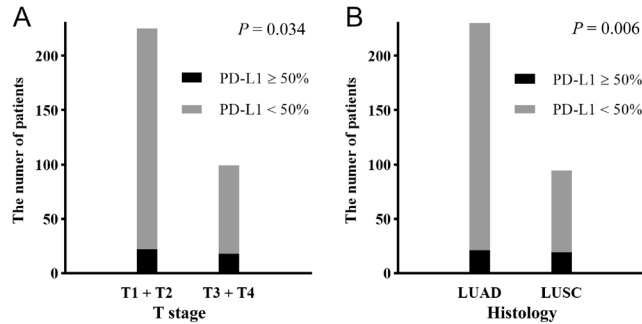


Figure 5. Expression of PD-L1. A) PD-L1 expression in different T stage group. B) PD-L1 expression in lung adenocarcinoma (LUAD) and lung squamous cell carcinoma (LUSC).

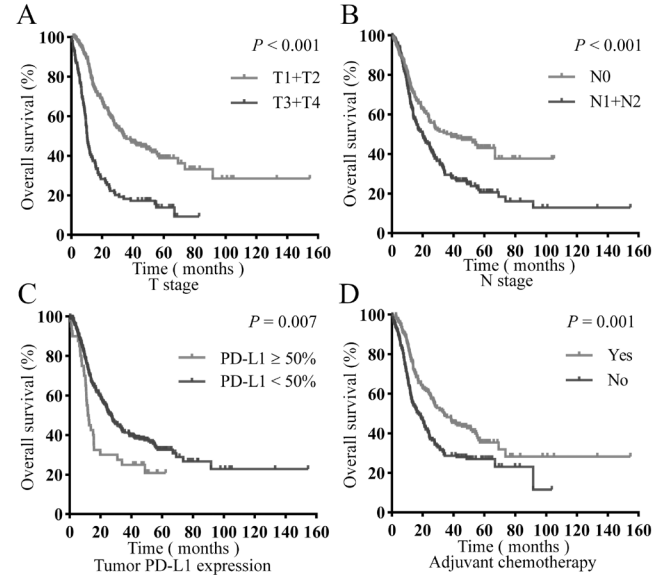


Figure 6. OS curves of patients using Kaplan-Meier. A) According to the T stage. B) According to the N stage. C) According to the PD-L1 expression level. D) According to the adjuvant chemotherapy.

	Univariate analysis		Multivariate analysis	
	Total numbers of patients/events (N/n)	Log rank P value	HR (95% CI)	P value
Age (years)		0.030		0.058
< 60	141/89		0.758 (0.569, 1.009)	
≥ 60	183/128		1.320 (0.991, 1.757)	
TNM stage		< 0.001		0.117
I + II	147/74		0.720 (0.477, 1.086)	
III + IV	177/143		1.389 (0.921, 2.095)	
T stage		< 0.001		< 0.001
T1 + T2	225/132		0.406 (0.296, 0.555)	
T3 + T4	99/85		2.466 (1.802, 3.373)	
N stage		< 0.001		0.035
N0	155/85		0.655 (0.442, 0.971)	
N1 + N2	167/130		1.527 (1.030, 2.264)	
Tumor CD8 ⁺ T cells' density		0.034		0.891
High	240/152		1.023 (0.742, 1.411)	
Low	84/65		0.978 (0.709, 1.348)	
Stroma CD8 ⁺ T cells' density		0.037		0.083
High	81/45		0.732 (0.515, 1.042)	
Low	243/172		1.366 (0.960, 1.943)	
Stroma FOXP3 ⁺ T cells' density		0.005		0.052
High	162/92		0.751 (0.563, 1.003)	
Low	162/125		1.331 (0.997, 1.776)	
Tumor PD-L1 expression		0.007		0.039
Positive	40/31		1.548 (1.021, 2.346)	
Negative	284/186		0.646 (0.426, 0.979)	
Adjuvant chemotherapy		0.001		< 0.001
Yes	174/108		0.537 (0.401, 0.718)	
No	150/ 109		1.863 (1.392, 2.492)	

Figure 7. Subgroup analyses of OS among 324 patients with resected NSCLC by univariate and multivariate analysis.

In conclusion, we demonstrated that S15 expression is frequently expressed in lung adenocarcinoma and has a positive correlation with the density of CD8⁺ T cells in the stroma. We found no correlation between S15 expression and overall survival in a large resected NSCLC sample size. In addition to S15 and PD-L1 signaling pathways, potential mechanisms of cancer immune escape are worthy of further studies. Quantitative immunohistochemical analysis is a potential tool for further research on lung cancer microenvironment. It may provide more clues to the immune regulation of tumor microenvironment and guide the precision immunotherapy in lung cancer.

Supplementary information is available in the online version of the paper.

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