- 1 NEOPLASMA accepted, ahead of print manuscript
- 2 Cite article as https://doi.org/10.4149/neo\_2025\_241021N429 3
- 4 Running title: PD-1 inhibitors combined with apatinib

## 5 6 Efficacy and prognostic factors of PD-1 inhibitors combined with apatinib in advanced diffuse 7 gastric cancer

8
9 Beibei Chen<sup>1,#</sup>, Huichen Zhao<sup>1,#</sup>, Huihui Hu<sup>1</sup>, Jinxi Huang<sup>2</sup>, Yingjun Liu<sup>2</sup>, Huifang Lv<sup>1</sup>, Weifeng Xu<sup>1</sup>,
10 Jianzheng Wang<sup>1</sup>, Caiyun Nie<sup>1</sup>, Jing Zhao<sup>1</sup>, Yunduan He<sup>1</sup>, Saiqi Wang<sup>1</sup>, Yuhang Wang<sup>3</sup>, Xiaobing
11 Chen<sup>1,\*</sup>

12

<sup>1</sup>Department of Medical Oncology, Affiliated Cancer Hospital of Zhengzhou University, Henan Cancer
 Hospital, Zhengzhou, Henan, China; <sup>2</sup>Department of Gastrointestinal Surgery, Affiliated Cancer
 Hospital of Zhengzhou University, Henan Cancer Hospital, Zhengzhou, Henan, China; <sup>3</sup>Medical
 College of Zhengzhou University, Zhengzhou, Henan, China

- 17
- 18 \*Correspondence: złychenxb0807@outlook.com
- 19
- 20 <sup>#</sup>Contributed equally to this work.
- 21

23

# 22 Received October 21, 2024 / Accepted February 27, 2025

24 Refractory diffuse gastric cancer (DGC) is rising in incidence and has a bad prognosis. Individuals who 25 are administered second-line or subsequent therapies frequently exhibit diminished physical fitness, rendering them inappropriate for intensive therapy. Despite this, PD-1 inhibitors and anti-angiogenesis 26 27 drug apatinib have demonstrated efficacy in advanced gastric cancer. This study aimed to evaluate the effectiveness, prognostic factors, and safety of PD-1 inhibitors in combination with apatinib in 28 29 advanced DGC. The present study is a retrospective analysis of 34 patients with advanced DGC treated 30 with apatinib combined with PD-1 inhibitors in the Affiliated Cancer Hospital of Zhengzhou University 31 from 2019 to 2022. Apatinib 250 mg was administered to patients once a day. The median progression-32 free survival (mPFS) and the median overall survival (mOS) were estimated using Kaplan-Meier 33 curves, whereas objective response rate (ORR), disease control rate (DCR), prognostic variables, and 34 adverse events were among the other outcomes. Data from 34 patients were collected, and the ORR 35 was 5.9% (2 out of 34), while the DCR was 55.9% (19 out of 34). The mPFS was 2.5 months (95% CI: 36 1.9-3.0), while the mOS was 6.8 months (95% CI: 3.7-9.9). Log-rank univariate analysis indicated that 37 the mOS of patients with carcinoembryonic antigen (CEA) levels < 4.7 ng/ml (11.3 months, 95% CI: 38 7.1-15.5) was significantly different from those with levels  $\geq 4.7$  ng/ml (2.7 months, 95% CI: 0.0-6.1) 39 (p = 0.008). A notable disparity in mOS and mPFS was observed between patients with CA125 < 3540 U/ml (7.7 months, 95% CI: 3.6-11.9) and those with CA125  $\geq$  35 U/ml (2.5 months, 95% CI: 1.9-3.0) 41 (p = 0.003), as well as between patients with lactate dehydrogenase (LDH) < 245 U/L (11.3 months, 42 95% CI: 7.2-15.5) and those with LDH  $\geq$  245 U/L (2.2 months, 95% CI: 1.5-2.9) (p = 0.007), and between patients with PLTs  $< 350 \times 10^9$ /L (7.5 months, 95% CI: 6.4-8.7) compared to those with PLTs  $\geq$ 43  $350 \times 10^9$ /L (1.7 months, 95% CI: 0.0-3.9) (p = 0.001). Multivariate Cox regression analysis indicated 44 45 that CA125, LDH, and PLT levels were independent prognostic variables. The occurrence of grade 3 or 4 treatment-related adverse events was 17.6% (6/34). The study suggests that the integration of PD-1 46

inhibitors and apatinib in second-line and subsequent therapies demonstrated promising efficacy and
acceptable safety in advanced DGC patients. The concentrations of CA125, LDH, and PLTs may serve
as prognostic indicators for DGC.

- Key words: diffuse gastric cancer (DGC); programmed cell death protein 1 (PD-1) inhibitors; apatinib;
   effectiveness; prognosis; tumor markers
- 53

50

54 Gastric cancer is among the most prevalent malignant neoplasms globally. GLOBOCAN 2022 reports 55 968,350 new gastric cancer cases and 659,853 deaths globally in 2022, positioning it 5<sup>th</sup> in incidence 56 rate (4.9%) and 5<sup>th</sup> in fatality rate (6.8%). In 2022, China reported 358,672 cases of stomach cancer and 57 58 260,372 deaths, ranking fifth in incidence rate (7.4%) and third in mortality rate (10.1%) [1, 2]. The 59 overall situation of gastric cancer prevention and control remains quite severe in China. In 1965, 60 Lauren first categorized gastric cancer into intestinal and diffuse kinds, a system currently referred to as 61 Lauren's classification. This classification disclosed substantial disparities in cancer incidence, 62 epidemiology, risk factors, biological behavior, molecular attributes, prognosis, and potential 63 therapeutic responses. DGC exhibit diffuse growth, lack cellular cohesion, typically do not develop glandular structures, and are primarily characterized as poorly differentiated adenocarcinomas or 64 indolent cell carcinomas with a high malignancy potential [3, 4]. Despite a general decline in gastric 65 66 cancer incidence in recent decades, the occurrence of DGC has risen, comprising approximately 30-45% of all gastric cancers [5, 6]. DGC, characterized by the poorest prognosis among gastric cancer 67 68 subtypes, presents at a younger age, exhibits a lower 5-year survival rate, and demonstrates a higher 69 recurrence rate, with peritoneal metastasis exceeding 80% compared to intestinal and mixed gastric 70 cancer [7-9].

71 Apatinib is a small molecule tyrosine kinase inhibitor (TKI) with anti-angiogenic properties that 72 predominantly targets the vascular endothelial growth factor receptor-2 (VEGFR2), inducing apoptosis 73 and inhibiting tumor development [10]. Phase II and Phase III clinical trials have conclusively 74 established the significant survival advantage of apatinib in progressive or advanced gastric cancer [11, 75 12]. Consequently, the National Medical Products Administration (NMPA) in China approved it for the 76 treatment of advanced stomach cancer or gastroesophageal junction adenocarcinoma in 2014 [13]. 77 DGC is less reliant on blood vessels compared to intestinal gastric cancer, so the efficacy of anti-78 angiogenic agents alone is constrained. Tumor immunotherapy is a prominent area of research in 79 cancer treatment that aims to restore the body's natural anti-tumor immune response by activating 80 immune cells and enhancing their capabilities, thereby facilitating the destruction of tumor cells by 81 immune cells. Inhibitors of programmed cell death protein 1 (PD-1) and its ligand (PD-L1) are among 82 the most prevalent tumor immunotherapies, capable of reinstating T cell activity, augmenting the 83 body's immune response, and facilitating the immune system's recognition and destruction of cancer 84 cells, with numerous malignancies deriving substantial benefits from their application [14, 15]. DGC 85 possesses a distinctive tumor microenvironment (TME), characterized by extracellular matrix 86 remodeling that leads to a dense tumor stroma, angiogenesis, and diminished tumor antigen expression 87 due to genomic stability, manifesting a "cold tumor" phenotype with poor efficacy of immunotherapy 88 alone [16, 17]. Targeted combination immunotherapy has demonstrated the ability to activate immune 89 cells, thereby stimulating novel antigens and enhancing their immunogenicity for synergistic anti-tumor 90 actions [18, 19]. To date, however, few pertinent clinical trials have specifically included diffuse 91 gastric cancer, despite an increasing prevalence of such patients in clinical practice, and there have 92 been limited real-world retrospective investigations described. This study was a real-world study 93 (RWS) aimed at assessing the efficacy and prognostic determinants of PD-1 inhibitors in conjunction 94 with antiangiogenic targeted therapies in DGC, thereby offering substantial clinical evidence for the 95 management of patients with this refractory variant of gastric cancer.

96

### 97 **Patients and methods**

Subjects. Participants were patients with advanced DGC who received PD-1 inhibitors in conjunction 98 99 with apatinib from January 2019 to January 2022 at the Affiliated Cancer Hospital of Zhengzhou 100 University, as identified through the Linkdoc database. Inclusion criteria: a) histologically or 101 cytologically verified metastatic DGC; b) absence of secondary primary tumor; c) age  $\geq 18$  years; d) no 102 evident contraindications for treatment; e. treated with PD-1 inhibitors in conjunction with apatinib for a minimum of 2 cycles; f) comprehensive clinicopathological data. Exclusion criteria: a) Patients 103 staged by Lauren with non-DGC; b) Patients with DGC classified as TNM stage I, II, or III; c) Patients 104 105 exhibiting significant abnormalities in routine blood tests, liver and renal function, coagulation 106 function, or possessing contraindications to therapy. The Ethics Committee of the Affiliated Cancer 107 Hospital of Zhengzhou University approved this study (2021-KY-0192). The trial was monitored via 108 telephone every three months utilizing Linkdoc doctor software until the patients' demise, with the final 109 follow-up occurring on December 31, 2022. Linkdoc is our hospital's patient follow-up information 110 system, which records the general condition, disease changes, adverse reactions, and other information 111 of patients during each follow-up visit.

Accepted manuscript

#### 113 Study design

Administration method. Patients administered 250 mg of apatinib orally once daily. Patients received intravenous treatment with commercially available immune checkpoint inhibitor anti-PD-1 monoclonal antibodies on Day 1, in conjunction with apatinib. The suggested dosage for each anti-PD-1 antibody is as follows: camrelizumab 200 mg biweekly, nivolumab 240 mg biweekly, pembrolizumab 200 mg every three weeks, sintilimab 200 mg every three weeks, tislelizumab 200 mg every three weeks, and toripalimab 240 mg every three weeks.

Efficacy evaluation. Data about general clinical characteristics, pathological aspects, genotyping, 120 121 treatment-related information, and patient survival status were gathered. The efficacy assessment was 122 conducted in accordance with the Response Evaluation Criteria in Solid Tumors version 1.1 (RECIST 123 v1.1), examined every 2 or 3 cycles of immunotherapy, or sooner if there were more pronounced 124 indications of potential disease progression. The primary outcome was overall survival (OS), defined as 125 the duration from the initiation of treatment with a PD-1 inhibitor combined with apatinib to death from 126 any cause. Additional outcomes encompassed progression-free survival (PFS, delineated as the 127 duration from the initiation of combination therapy to tumor progression or mortality), disease control rate (DCR, characterized as the percentage of patients attaining complete response (CR), partial 128 129 response (PR), and stable disease (SD) among all evaluable patients), and objective response rate (ORR, defined as the proportion of patients achieving CR and PR in relation to treatment among all evaluable 130 131 patients).

*Factors related to efficacy and prognosis.* The clinical data presented were analyzed using log-rank univariate and Cox regression multivariate methods in this study. The variables of gender, age, primary lesion resection status, liver metastasis, lung metastasis, peritoneal metastasis, bone metastasis, distant lymph node metastasis, number of metastatic sites, type of immunotherapy, combination therapy approaches, duration of immunotherapy, expression levels of HER-2 and PD-L1, and concentrations of CEA, CA199, CA125, albumin, lactate dehydrogenase (LDH), and platelet (PLT) were evaluated to assess efficacy and prognostic determinants.

Adverse reaction evaluation. Adverse responses following therapy delivery were evaluated in accordance with the National Cancer Institute Common Terminology Criteria for Adverse Events (NCICTCAE) version 5.0 and documented at routine follow-up visits. Adverse reactions were categorized into five levels based on their severity. 1) Level 1: mild, asymptomatic or mild, identified clinically or diagnosed without necessitating treatment; 2) Level 2: moderate, necessitating minor,

144 localized or non-invasive treatment, limiting labor-related activities of daily life relative to age; 3) 145 Level 3: severe or medically significant but not immediately life-threatening, resulting in 146 hospitalization or extended stay, disability, and restricted personal daily activities; 4) Level 4: life-147 threatening, necessitating emergency treatment; Level 5: Mortality associated with adverse effects. 148 Adverse events were observed continuously throughout the trial duration and documented during each 149 follow-up interval. This study categorized events in accordance with NCICTCAE v5.0 and 150 meticulously observed any occurrences at or above level 3 throughout the research procedure, 151 implementing necessary dosage modifications or treatment cessation as required.

152 Statistical analysis. The analysis utilized SPSS version 26.0 software. Non-normally distributed 153 variables were expressed as medians, but categorical data were denoted by the count of instances (%). 154 The impact of various determinants on survival was assessed by constructing survival curves utilizing 155 the Kaplan-Meier method and the Log-rank test. COX regression analysis was employed for 156 multivariate analysis to ascertain independent prognostic markers associated with the prognosis of 157 patients with advanced DGC. The significance level was  $\alpha$ =0.05. A p-value of less than 0.05 was 158 deemed statistically significant.

159

#### 160 **Results**

Patient's clinicopathologic characteristics. This study included 34 eligible patients (Table 1), of 161 162 whom 20 (58.8%) were male and 18 (52.9%) were under 60 years of age. A total of 15 patients (41.1%) had excision of the main lesion. Eleven (32.4%) presented with liver metastases; eight (23.5%) 163 164 with lung metastases; sixteen (47.1%) with peritoneal metastases; seven (20.6%) with bone metastases; 165 thirty-one (91.2%) with distant lymph node metastases; twenty-four (70.6%) with fewer than three metastatic sites. Twenty-one patients (61.8%) received camrelizumab combination therapy, two 166 patients (5.9%) received pembrolizumab combination therapy, five patients (14.7%) received 167 sintilimab combination therapy, four patients (11.7%) received toripalimab combination therapy, and 168 169 two patients (5.9%) received tiskelizumab combination therapy. Additionally, twelve patients (35.3%) 170 were treated with a PD-1 inhibitor combined with apatinib as the second-line regimen, while twenty-171 two patients (64.7%) received it as subsequent therapy. Nine individuals (26.5%) underwent PD-1 172 inhibitor cross-line treatment, while twenty-five individuals (73.5%) received non-cross-line treatment. 173 Note: Abbreviations: mDGC, metastatic diffuse gastric cancer; PD-L1, programmed cell death ligand 1; 174 CEA, carcino-embryonic antigen; CA199, carbohydrate antigen 199; CA125, carbohydrate antigen 125;

- 175 LDH, lactate dehydrogenase; PLT, platelet.
- 176 Twenty-eight patients (82.4%) were classified as human epidermal growth factor receptor 2-negative
- 177 (HER2-), fourteen (41.2%) as PD-L1 positive, seventeen (50.0%) as CEA  $\geq$  4.7 ng/ml, eighteen
- 178 (52.9%) as CA199  $\ge$  27 U/ml, fourteen (41.2%) as CA125  $\ge$  35 U/ml, fifteen (44.1%) as LDH  $\ge$ 245
- 179 U/l, ten (29.4%) as albumin < 40 g/l, and three (8.8%) as PLT  $\ge$  350 × 10<sup>9</sup>/l.
- Effectiveness analysis. The mPFS of 34 patients was 2.5 months (95% confidence interval: 1.9-3.0), and the mOS was 6.8 months (95% confidence interval: 3.7-9.9) (Figure 1). Among patients administered the PD-1 inhibitor in conjunction with apatinib, two patients (5.9%) exhibited PR, 17 patients (50.0%) had SD, 15 patients (44.1%) experienced PD, and no patients achieved CR. The ORR was 5.9% (2 out of 34), and the DCR was 55.9% (19 out of 34).
- Log-rank univariate analysis indicated no statistically significant differences in mPFS or mOS 185 concerning gender, age, primary site resection, metastatic site, type of immunotherapy, treatment lines, 186 187 cross-line PD-1 inhibitor usage, HER-2 expression, PD-L1 expression, CA199 levels, and albumin levels. Patients with CEA levels < 4.7 ng/ml exhibited a statistically significant median overall survival 188 189 (mOS) of 11.3 months (95% CI: 7.1-15.5) compared to those with CEA levels  $\geq$  4.7 ng/ml, who had a 190 mOS of 2.7 months (95% CI: 0.0-6.1) (p=0.008). Patients with CA125 levels < 35 U/ml exhibited a 191 statistically significant median overall survival (mOS) of 7.7 months (95% CI: 3.6-11.9) compared to the CA125 > 35 U/ml cohort, which had a mOS of 2.5 months (95% CI: 1.9-3.0) (p=0.003). 192 193 Additionally, there was a significant mPFS difference, with 3.7 months for the lower CA125 group 194 against 1.8 months for the higher CA125 group (p=0.011). Patients with LDH levels below 245 U/l 195 exhibited a statistically significant mOS of 11.3 months (95% CI: 7.2-15.5) compared to those with LDH levels of 245 U/L or more, who had a mOS of 2.2 months (95% CI: 1.5-2.9) (p=0.007). 196 197 Additionally, there was a significant mPFS difference, with 3.8 months for the lower LDH group against 2.2 months for the higher LDH group (P=0.004). A statistically significant difference in mOS 198 was observed between the group with platelet counts (PLTs)  $< 350 \times 10^{9}/1$  (7.5 months, 95% CI: 6.4-199 8.7) and the group with PLTs >  $350 \times 10^{9}/1$  (1.7 months, 95% CI: 0.0-3.9) (p=0.001). Additionally, a 200 statistically significant difference in mPFS was noted (2.5 months vs. 1.7 months, p=0.015) (Figure 2). 201 202 A univariate analysis was conducted on clinical characteristics that may affect the patients' PFS and 203 OS, and the results showed that the level of CEA, CA125, PLT, LDH of patients, and the presence of 204 combined bone metastases may affect the patients' PFS or OS. These clinical characteristics were also

subjected to multivariate COX regression analysis, showing that the level of CA125, PLT, and LDH
were independent prognostic factors for OS (Table 2).

Adverse reactions. Twenty-three individuals experienced at least one adverse reaction, predominantly comprising hypertension (29.4%), hypothyroidism (17.6%), anorexia (23.5%), fatigue (26.5%), handfoot syndrome (20.6%), elevated transaminases (14.7%), proteinuria (17.6%), pneumonia (8.8%), skin rash (11.8%), oral mucositis (8.8%), and capillary hyperplasia (23.5%). The majority of patients experienced grade 1-2 adverse reactions, with only 6 cases (17.6%) exhibiting grade  $\geq$  3 adverse reactions (Table 3). The combined therapy was generally well tolerated.

213

### 214 **Discussion**

215 Among the four principal molecular subtypes of gastric cancer identified in a 2014 Nature publication, the genomically stable subtype is designated as DGC [20]. This subtype is characterized by gene 216 217 silencing, little somatic copy number change, and the activation of angiogenic pathways, classifying it 218 as a non-immunogenic tumor [21]. A study conducted by the Asian Cancer Research Group (ACRG) categorized gastric cancer into four subtypes based on gene expression data, each linked to distinct 219 220 clinical outcomes. Among these, DGC with microsatellite stability and epithelial to mesenchymal 221 transition (MSS/EMT) exhibited the most unfavorable prognosis [22]. This unfavorable prognosis is linked to its distinctive tumor microenvironment. DGC is distinguished by pronounced mesenchymal 222 fibrous hyperplasia and a thick tumor stromal architecture that impedes the migration of immune cells 223 224 into the tumor tissue, resulting in diminished proliferation of immune T cells. Abnormal angiogenesis 225 within the tumor microenvironment facilitates the recruitment and proliferation of immunosuppressive cells, including regulatory T cells (Tregs) and myeloid-derived suppressor cells (MDSCs), while 226 227 enhancing the expression of M2-like macrophages, which subsequently inhibit effector T cells, 228 resulting in localized immunosuppression [22, 23]. The clinical stage and prevalent target mutations of 229 gastric cancer are the primary determinants of therapeutic options, and there are no established criteria 230 or guidelines for selecting treatment based on histology staging. Wang et al. performed a meta-analysis 231 of 33 trials and discovered that patients with intestinal gastric cancer (IGC) exhibited significantly 232 superior overall survival (OS) compared to those with DGC using analogous chemotherapy regimens 233 <sup>23</sup>. Results from ONO-4538, a phase III clinical trial of advanced refractory gastric cancer, indicated 234 that patients receiving PD-1 inhibitors experienced prolonged overall survival compared to the placebo 235 group; however, subgroup analysis revealed no statistically significant advantage in DGC [24]. A comparative evaluation of PD-1 inhibitors and paclitaxel for advanced gastric cancer or gastroesophageal junction cancer, after disease progression after first-line chemotherapy, revealed no statistically significant improvement in overall survival for patients with diffuse gastric cancer in a subgroup analysis [25]. Consequently, standard radiation, chemotherapy, targeted therapy, and immunotherapy alone is ineffective in DGC.

241 Research indicates that anti-angiogenic medicines can regulate blood vessels and reconfigure the 242 immunosuppressive tumor milieu into an immune-supportive one, thereby augmenting the efficacy of 243 immunotherapy [26, 27]. In recent years, small-molecule tyrosine kinase inhibitors (TKIs) targeting the 244 angiogenic signaling pathway have garnered significant interest from clinical researchers, with apatinib 245 being the first small-molecule TKI demonstrated to be safe and efficacious for advanced gastric cancer 246 [28]. Xu et al. undertook an open-label trial of the anti-PD-1 antibody SHR-1210 in conjunction with apatinib for the treatment of gastric cancer, advanced hepatocellular carcinoma, or esophagogastric 247 248 junction cancer [19] Thirty-nine reviewed patients exhibited an ORR of 30.8% (95% confidence interval: 17.0% to 47.6%). The trial established that the ideal dosage of apatinib is 250 mg when 249 250 administered in conjunction, and that this combination is safe and manageable regarding medication 251 toxicity. There are relatively few studies examining the combination of apatinib with immunotherapy in 252 post-line DGC. The availability of the apatinib and PD-1 inhibitors combination in real-world clinical settings in China positions us favorably to undertake this investigation. The PD-1 inhibitor in 253 254 conjunction with apatinib in this study demonstrated a mOS of 6.8 months, an ORR of 5.9%, and a 255 DCR of 55.9%. These results are inferior to those reported by Xu et al. However, it is crucial to note 256 that this study targets advanced DGC, which has the most unfavorable prognosis among gastric cancers 257 [19]. Patients undergoing second-line and subsequent treatments typically exhibit diminished physical 258 fitness and tolerance compared to those receiving first-line therapy, rendering them unsuitable for 259 aggressive treatment regimens. No standardized global treatment protocol exists for these patients. This trial has yielded preliminary results and safety with a combination of targeted treatment and 260 261 immunotherapy, warranting additional prospective investigation.

Prior research has established that tumor markers serve as pertinent indicators for assessing the prognosis of gastric cancer and hold significant importance in its early detection and prognosis [29]. LDH is a crucial enzyme in glycolysis, and its elevation can facilitate tumor cells in acquiring energy for proliferation and division via anaerobic metabolism. Numerous studies indicate that an elevated level of LDH correlates with numerous malignant tumors, including gastric, renal, and colorectal 267 cancers, and plays a significant role in prognostic prediction for patients [30, 31]. Increased PLTs have 268 been shown to facilitate tumor infiltration and metastasis by inducing immune evasion of tumor cells 269 and enhancing the adherence of tumor cells to vascular endothelial cells, hence boosting hematogenous 270 metastasis. Platelet levels are inversely associated with patient prognosis. Consequently, elevated PLT 271 levels serve as an independent prognostic indicator for individuals with advanced gastric cancer [32, 272 33]. Our study data indicated that tumor markers, such as CEA, CA125, LDH, and PLT levels, were 273 independent prognostic variables for patients with advanced DGC, corroborating findings from prior 274 research. Individuals exhibiting normal levels of tumor markers CEA, CA125, PLT, and LDH prior to 275 immunotherapy demonstrated superior prognosis and extended PFS and OS compared to those with 276 elevated levels. Peripheral blood can be utilized to measure those laboratory markers, facilitating 277 testing. During treatment, these laboratory markers can be utilized to initially assess patient prognosis, 278 enabling the formulation of tailored treatment programs to extend survival and enhance therapeutic 279 outcomes.

In conclusion, PD-1 inhibitors combined with apatinib as second-line and subsequent therapy have 280 281 demonstrated initial efficacy and acceptable tolerability in advanced DGC. For patients with a poor 282 prognosis, compromised physical state, and ineligibility for intense therapy, a chemotherapy-free 283 approach is a more suitable treatment selection.CA125, PLTs, and LDH serve as independent 284 prognostic indicators in DGC, with elevated pre-treatment serum levels correlating with poorer 285 prognosis. Nonetheless, there remains an absence of precise predictive markers for the success of immunotherapy in DGC. To enhance the accessibility of immunotherapy for patients with DGC, it is 286 287 imperative to investigate novel biomarkers, which will guide our future development and initiatives. 288 This is a retrospective observational study and possesses specific limitations. Firstly, the sample size is 289 limited, potentially introducing statistical bias; secondly, four of the five anti-PD-1 inhibitors are 290 accessible in China but not in other nations; and thirdly, there is an absence of fundamental studies to 291 investigate molecular indicators for a more thorough screening of the precise beneficiary population. 292 Consequently, an increase in sample size or a prospective design is required to advance the study. 293

Acknowledgments: This work was supported by the Medical Science and Technique Foundation of Henan Province (LHGJ20210172), Science and Technique Foundation of Henan Province (222102310424), Natural Science Foundation of Henan Province (242300420091).

Accepted manuscrip

### 300 References

- BRAY F, LAVERSANNE M, SUNG H, FERLAY J, SIEGEL RL et al. Global cancer statistics
   2022: GLOBOCAN estimates of incidence and mortality worldwide for 36 cancers in 185
   countries. CA Cancer J Clin 2024; 74: 229-263. https://doi.org/10.3322/caac.21834
- ZHENG RS, CHEN R, HAN BF, WANG SM, LI L et al. [Cancer incidence and mortality in China, 2022.] Zhonghua Zhong Liu Za Zhi 2024; 46: 221-231. https://doi.org/10.3760/cma.j.cn 112152-20240119-00035
- IAUREN P. The two histological main types of gastric carcinoma: Diffuse and so-called
   Intestinal-type carcinoma. An attempt at a histo-clinical classification. Acta Pathol Microbiol
   Scand 1965; 64: 31-49. https://doi.org/10.1111/apm.1965.64.1.31
- ANSARI S, GANTUYA B, TUAN VP, YAMAOKA Y. Diffuse gastric cancer: A summary of
   analogous contributing factors for its molecular pathogenicity. Int J Mol Sci. 2018; 19: 2424.
   https://doi.org/10.3390/ijms19082424
- 313[5]TAGHAVI S, JAYARAJAN SN, DAVEY A, WILLIS AI. Prognostic significance of signet ring314gastric cancer. J Clin Oncol 2012; 30: 3493-3498. https://doi.org/10.1200/JCO.2012.42.6635
- KARIMI P, ISLAMI F, ANANDASABAPATHY S, FREEDMAN ND, KAMANGAR F. Gastric
  cancer: Descriptive epidemiology, risk factors, screening, and prevention. Cancer Epidemiol
  Biomarkers Prev 2014; 23: 700-713. https://doi.org/10.1158/1055-9965.EPI-13-1057
- GE S, XIA X, DING C, ZHEN B, ZHOU Q et al. A proteomic landscape of diffuse-type gastric cancer. Nat Commun 2018; 9: 1012. https://doi.org/10.1038/s41467-018-03121-2
- 320[8]MUN DG, BHIN J, KIM S, KIM H, JUNG JH et al. Proteogenomic Characterization of Human321Early-Onset Gastric Cancer. Cancer Cell 2019; 35: 111-124.e10.322https://doi.org/10.1016/j.ccell.2018.12.003
- QIU MZ, CAI MY, ZHANG DS, WANG ZQ, WANG DS et al. Clinicopathological
   characteristics and prognostic analysis of Lauren classification in gastric adenocarcinoma in
   China. J Transl Med. 2013; 11: 58. https://doi.org/10.1186/1479-5876-11-58
- LI H, HUANG H, ZHANG T, FENG H, WANG S et al. Apatinib: A Novel Antiangiogenic Drug
   in Monotherapy or Combination Immunotherapy for Digestive System Malignancies. Front
   Immunol 2022; 13: 937307. https://doi.org/10.3389/fimmu.2022.937307
- [11] LI J, QIN S, XU J, GUO W, XIONG J et al. Apatinib for chemotherapy-refractory advanced metastatic gastric cancer: Results from a randomized, placebo-controlled, parallel-Arm, phase II trial. J Clin Oncol 2013; 31: 3219-3225. https://doi.org/10.1200/JCO.2013.48.8585
- LI J, QIN S, XU J, XIONG J, WU C et al. Randomized, double-blind, placebo-controlled phase
  III trial of apatinib in patients with chemotherapy-refractory advanced or metastatic
  adenocarcinoma of the stomach or gastroesophageal junction. J Clin Oncol 2016; 34: 14481454. https://doi.org/10.1200/JCO.2015.63.5995
- 336 [13] SCOTT LJ. Apatinib: A Review in Advanced Gastric Cancer and Other Advanced Cancers.
   337 Drugs 2018; 78: 759. https://doi.org/10.1007/s40265-018-0903-9
- 338 [14] OH S, KIM E, LEE H. Comparative impact of PD-1 and PD-L1 inhibitors on advanced
   asophageal or gastric/gastroesophageal junction cancer treatment: A systematic review and
   meta-analysis. J Clin Med 2021; 10: 3612. https://doi.org/10.3390/jcm10163612
- [15] YI M, NIU M, XU L, LUO S, WU K. Regulation of PD-L1 expression in the tumor
   microenvironment. J Hematol Oncol 2021; 14: 10. https://doi.org/10.1186/s13045-020-01027-5
- KUCZEK DE, LARSEN AMH, THORSETH ML, CARRETTA M, KALVISA A et al. Collagen
   density regulates the activity of tumor-infiltrating T cells. J Immunother Cancer 2019; 7: 68.
   https://doi.org/10.1186/s40425-019-0556-6

- LEE JH, CHANG KK, YOON C, TANG LH, STRONG VE et al. Lauren Histologic Type Is the
  Most Important Factor Associated with Pattern of Recurrence Following Resection of Gastric
  Adenocarcinoma. Ann Surg 2018; 267: 105-113.
  https://doi.org/10.1097/SLA.00000000002040
- FUKUMURA D, KLOEPPER J, AMOOZGAR Z, DUDA DG, JAIN RK. Enhancing cancer
   immunotherapy using antiangiogenics: Opportunities and challenges. Nat Rev Clin Oncol 2018;
   15: 325-340. https://doi.org/10.1038/nrclinonc.2018.29
- XU J, ZHANG Y, JIA R, YUE C, CHANG L et al. Anti-PD-1 antibody SHR-1210 combined
  with apatinib for advanced hepatocellular carcinoma, gastric, or esophagogastric junction
  cancer: An Open-label, Dose Escalation and Expansion Study. Clin Cancer Res 2019; 25: 515523. https://doi.org/10.1158/1078-0432.CCR-18-2484
- 357 [20] CANCER GENOME ATLAS RESEARCH NETWORK. Comprehensive molecular 358 characterization of gastric adenocarcinoma. Nature 2014; 513: 202-209. 359 https://doi.org/10.1038/nature13480
- WANG K, LI E, BUSUTTIL RA, KONG JC, PATTISON S et al. A cohort study and meta analysis of the evidence for consideration of Lauren subtype when prescribing adjuvant or
   palliative chemotherapy for gastric cancer. Ther Adv Med Oncol 2020; 12: 1758835920930359.
   https://doi.org/10.1177/ 1758835920 930359
- 364 [22] CRISTESCU R, LEE J, NEBOZHYN M, KIM KM, TING JC et al. Molecular analysis of
   365 gastric cancer identifies subtypes associated with distinct clinical outcomes. Nat Med 2015; 21:
   366 449-456. https://doi.org/10.1038/nm.3850
- RAHMA OE, HODI FS. The intersection between tumor angiogenesis and immune
   suppression. Clin Cancer Res 2019; 25: 5449-5457. https://doi.org/10.1158/1078-0432.CCR-18 1543
- KANG YK, BOKU N, SATOH T, RYU MH, CHAO Y et al. Nivolumab in patients with advanced gastric or gastro-oesophageal junction cancer refractory to, or intolerant of, at least two previous chemotherapy regimens (ONO-4538-12, ATTRACTION-2): a randomised, double-blind, placebo-controlled, phase 3 trial. Lancet 2017; 390: 2461-2471. https://doi.org/10.1016/S0140-6736(17)31827-5
- 375 [25] SHITARA K, ÖZGÜROĞLU M, BANG YJ, DI BARTOLOMEO M, MANDALÀ M et al.
  376 Pembrolizumab versus paclitaxel for previously treated, advanced gastric or gastro-oesophageal
  377 junction cancer (KEYNOTE-061): a randomised, open-label, controlled, phase 3 trial. Lancet
  378 2018; 392: 123-133. https://doi.org/10.1016/S0140-6736(18) 31257-1
- APTE RS, CHEN DS, FERRARA N. VEGF in Signaling and Disease: Beyond Discovery and
   Development. Cell 2019; 176: 1248-1264. https://doi.org/10.1016/j.cell.2019.01.021
- [27] MPEKRIS F, VOUTOURI C, BAISH JW, DUDA DG, MUNN LL et al. Combining
   microenvironment normalization strategies to improve cancer immunotherapy. Proc Natl Acad
   Sci U S A 2020; 117: 3728-3737. https://doi.org/10.1073/pnas.1919764117
- TIAN Z, NIU X, YAO W. Efficacy and Response Biomarkers of Apatinib in the Treatment of 384 [28] in Review. 385 Malignancies China: А Front Oncol 11: 749083. 2021: https://doi.org/10.3389/fonc.2021. 749083 386
- Zhang F, Zhai M, Yang J, Zhao L, Lin Z et al. 'FLARE' of tumor marker in advanced gastric cancer treated with first-line systemic therapy. Therap Adv Gastroenterol 2022; 15: 17562848221124029. https://doi.org/10.1177/17562848221124029
- ARMSTRONG AJ, GEORGE DJ, HALABI S. Serum lactate dehydrogenase predicts for
   overall survival benefit in patients with metastatic renal cell carcinoma treated with inhibition of

 392
 mammalian target of rapamycin.
 J Clin Oncol 2012;
 30: 3402-3407.

 393
 https://doi.org/10.1200/JCO.2011.40.
 9631

- KOUKOURAKIS MI, GIATROMANOLAKI A, SIVRIDIS E, GATTER KC, TRARBACH T
   et al. Prognostic and predictive role of lactate dehydrogenase 5 expression in colorectal cancer
   patients treated with PTK787/ZK 222584 (Vatalanib) antiangiogenic therapy. Clin Cancer Res
   2011; 17: 4892-4900. https://doi.org/10.1158/1078-0432.CCR-10-2918
- WAN M, DING Y, MAO C, MA X, LI N et al. Association of inflammatory markers with survival in patients with advanced gastric cancer treated with immune checkpoint inhibitors combined with chemotherapy as first line treatment. Front Oncol 2022; 12: 1029960.
  https://doi.org/10.3389/ fonc.2022.1029960
- 402 [33] Liang W, Xu X, Liu Y, Cui J, Gao Y et al. Defining the impact of platelet-to-lymphocyte ratio
  403 on patient survival with gastric neuroendocrine neoplasm: a retrospective cohort analysis. World
  404 J Surg Oncol 2022; 20: 356. https://doi.org/10.1186/s12957-022-02822-9
- 406 Figure Legends
- 407

- 408 Figure 1. Kaplan-Meier survival curves. A) mPFS of 34 evaluable patients. B) mOS of the whole
- 409 cohort.
- 410
- 411 Figure 2. Kaplan-Meier survival curve in patients. A) Kaplan-Meier survival curve in patients with
- 412 CA125 < 35 U/l vs. CA125 ≥35 U/l (PFS). B) Kaplan-Meier survival curve in patients with CA125 <
- 413 35 U/l vs. CA125  $\ge$  35 U/l (OS). C) Kaplan-Meier survival curve in patients with LDH < 245 U/l vs.
- 414 LDH  $\ge$  245 U/l (PFS). D) Kaplan-Meier survival curve in patients with LDH < 245 U/l vs. LDH  $\ge$  245
- 415 U/l (OS). E) Kaplan-Meier survival curve in patients with PLT  $< 350 \times 10^9$ /l vs.  $\ge 350 \times 10^9$ /l (PFS). F)
- 416 Kaplan-Meier survival curve in patients with PLT  $< 350 \times 10^9/l \text{ vs.} \ge 350 \times 10^9/l \text{ (OS)}.$
- 417

Age (year)		
< 60	18 (52.9%)	
$\geq 60$	16 (47.1%)	
Gender		
Male	20 (58.8%)	
Female	14 (41.2%)	
Whether the primary tumor is re	sected	
Resected	15 (41.1%)	
Not resected	19 (58.9%)	
Type of metastasis		
Liver metastasis		
With liver metastasis	11 (32.4%)	
Without liver metastasis	23 (67.6%)	
Lung metastasis		

8 (23.5%)

26 (76.5%)

16 (47.1%)

18 (52.9%)

7 (20.6%)

27 (79.4%)

31 (91.2%)

24 (70.6)

10 (20.4)

21 (61.8%)

2 (5.9%)

5 (14.7%)

4 (11.7%)

2 (5.9%)

12 (35.3%)

22 (64.7%)

9 (26.5%)

25 (73.5%)

28 (82.4%)

3 (8.8%)

nodes 3 (8.8%)

Patients N(%)

418 **Table 1.** Baseline demographic and clinical characteristics of 34 DGC patients.

Characteristics

With lung metastasis

Bone metastasis With bone metastasis

Without

< 3

 $\geq 3$ 

metastasis

Without lung metastasis Peritoneum metastasis With peritoneum metastasis

Without bone metastasis

Without peritoneum metastasis

Distant lymph nodes metastasis With distant lymph nodes metastasis

distant

Number of metastatic sites

Immunization agents

Camrelizumab

Pembrolizumab

Sintilimab

Cross line

HER-2 (-)

HER-2 (+)

Not cross line

Toripalimab

Tislelizumab

Lines of treatment Second-line treatment

Third- or later- lines

HER-2 expression level

Whether PD-1 inhibitor was cross line

lymph

Unknown	3 (8.8%)
PD-L1 expression level	
PD-L1 CPS < 1	12 (35.3%)
PD-L1 CPS $\geq$ 1	14 (41.2%)
PD-L1 CPS unknown	8 (23.5%)
CEA	
< 4.7 ng/ml	17 (50.0%)
$\geq$ 4.7 ng/ml	17 (50.0%)
CA199	
< 27 U/ml	16 (47.1%)
$\geq$ 27 U/ml	18 (52.9%)
CA125	
< 35 U/ml	20 (58.8%)
≥35 U/ml	14 (41.2%)
LDH	
< 245 U/l	19 (55.9%)
≥ 245 U/l	15 (44.1%)
Albumin level	
< 40 g/l	10 (29.4%)
$\geq 40 \text{ g/l}$	24 (70.6%)
PLT	
$< 350 \times 10^{9}/1$	31 (91.2%
$\geq 350 \times 10^9 / l$	3 (8.8%)

419 Note: Abbreviations: mDGC-metastatic diffuse gastric cancer; PD-L1-programmed cell death ligand 1;

420 CEA-carcino-embryonic antigen; CA199-carbohydrate antigen 199; CA125-carbohydrate antigen 125;

421 LDH-lactate dehydrogenase; PLT-platelet

	Univariable		Multivariable	Multivariable	
	HR (95%CI)	p-value	HR (95%CI)	p-value	
Age	1.202 (0.784, 1.842)	0.399			
Gender	0.638 (0.274, 1.483)	0.296			
whether the primary lesion was resected	1.236 (0.508, 3.007)	0.640			
liver metastasis	0.756 (0.447, 1.277)	0.295			
lung metastasis	1.275 (0.760, 2.140)	0.358			
peritoneal metastasis	1.330 (0.848, 2.086)	0.215			
bone metastasis	1.566 (0.981, 2.502)	0.060			
distant lymph node metastasis	0.932 (0.502, 1.731)	0.823			
number of metastatic sites	0.655 (0.420, 1.022)	0.062			
Immunization agents	0.267 (0.049, 1.453)	0.332			
lines of treatment	0.647 (0.302, 1.387)	0.263			
whether PD-1 inhibitor was cross line	1.882 (0.833, 4.248)	0.128			
HER-2	1.459 (0.331, 6.439)	0.618			
PD-L1	1.346 (0.467, 3.880)	0.582			
CEA	0.515 (0.315, 0.844)	0.008*	0.318 (0.095, 1.069)	0.064	
CA199	0.632 (0.391, 1.021)	0.061			
CA125	0.513 (0.328, 0.803)	0.003*	0.224 (0.076, 0.660)	0.007*	
LDH	0.201 (0.062, 0.648)	0.007*	0.160 (0.050, 0.512)	0.002*	
albumin	1.420 (0.882, 2.284)	0.149			
PLT	0.253 (0.112, 0.569)	0.001*	0.136 (0.022, 0.847)	0.033*	
Note: *p < 0.05					
<i>v</i>		17			

422 COV 1.1 forf .11

Note: \*p < 0.05 423

		1	
Adverse reactions	Grade 1-2 n (%)	Grade 3-4 n (%)	Total
Hypertension	8 (23.5)	2 (5.8)	10 (29.4)
Hypothyroidism	6 (17.6)	0 (0.0)	6 (17.6)
Anorexia	8 (23.5)	0 (0.0)	8 (23.5)
Fatigue	9 (26.5)	0 (0.0)	9 (26.5)
Hand foot syndrome	5 (14.7)	2 (5.8)	7 (20.6)
Elevated transaminase	5 (14.7)	0 (0.0)	5 (14.7)
Proteinuria	6 (17.6)	0 (0.0)	6 (17.6)
Pneumonia	3 (8.8)	0 (0.0)	3 (8.8)
Rash	3 (8.8)	1 (2.9)	4 (11.8)
Oral mucositis	3 (8.8)	0 (0.0)	3 (8.8)
Hemangioma	7 (20.6)	1 (2.9)	8 (23.5)

**Table 3.** Summary of adverse reactions of 34 DGC patients.



